

NWRB-WUD-09-r2

Republic of the Philippines NATIONAL WATER RESOURCES BOARD 8 th Floor NIA Building, EDSA, Quezon City Telefax (02) 8920-2714	APPLICATION FOR CERTIFICATE OF PUBLIC CONVENIENCE
<i>Accomplish this form in six (6) copies. Attach separate sheet if more space is required.</i>	Water Utility (WU) Category ¹
Case Number	

Name of Applicant	Mailing Address	Email Address
		Contact No.

Citizenship	TIN	Year Operations Started
Provisional Authority Required? <input type="radio"/> Yes <input type="radio"/> No	Filing Fee Amount	OR No. Date Paid

Location of Service Area

Subdivision	
Barangay	
Municipality	
Province	

Type of Utility <input type="radio"/> Subdivision / Homeowners' Association <input type="radio"/> Rural Waterworks and Sanitation Association <input type="radio"/> Cooperative <input type="radio"/> Bulk Water Seller / Peddler <input type="radio"/> Others, specify: _____	Number of Connections With water meters _____ Without water meters _____ Meters tested? <input type="radio"/> Yes <input type="radio"/> No If tested, Tested by _____ Date tested _____	Kind of Water System <input type="radio"/> Draw and Fill <input type="radio"/> Float <input type="radio"/> Direct Pumping For Bulk Water Seller/Peddler, Average volume of water delivered per day (in cu. m.)
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Water Permit Information

CWP/ Water Permit No.	Source	Latitude			Longitude			Barangay Location of Source
		Deg.	Min.	Sec.	Deg.	Min.	Sec.	

If water is bulk supplied, copy of agreement/contract/receipt

See back page for pertinent requirements.

I hereby certify that the information given above and the documents submitted are true and correct to the best of my knowledge and belief. _____ Signature over printed name of Water Utility Representative	Subscribed and sworn to before me on this date: _____ _____ Notary Public / Administering Officer Date
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¹ How to Determine the Water Utility Category

Category A	Category B	Category C
Water utilities operating for profit: 1. Privately-owned or privately run WUs; or 2. Government-owned and government-run WUs that opted to be classified or NWRB has classified as Category A; or 3. Community based WUs that: a) Have expanded/ are expanding outside original area of jurisdiction, or b) Opted to be classified or NWRB has classified as Category A	Government-owned or controlled, and government-run WUs that do not opt to be classified as Category "A" or NWRB has classified as Category B	Community based WUs that: 1) Are not operating for profit; 2) Have not expanded/ are not expanding outside their original area of jurisdiction; or 3) Do not opt to be classified as Category "A"/"B" or NWRB has classified as Category "A"

National Water Resources Board
APPLICATION FOR
CERTIFICATE OF PUBLIC CONVENIENCE

Name of Applicant		Water Utility Category		Case Number		
Requirements				Cat. A	Cat. B	Cat. C
1	Articles of Incorporation/Partnership or DTI/CDA Registration	<input type="checkbox"/>		<input type="checkbox"/>		
2	Authority to sign and file the application <ul style="list-style-type: none"> ● Board Resolution for corporations and partnerships, or Board Secretary's Certificate ● For single proprietorship, signed by owner or Special Power of Attorney 	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Copy of approved Conditional /Water Permit/s If water is bulk supplied, copy of agreement/contract/receipt	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Copy of latest Official Receipts of Annual Water Charge/s	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	Copy of recent (within 1 year) Certificate of Potability issued by the City/ Municipal Health Officer where the source is located, with results of bacteriological, physical and chemical impurity tests conducted by a Department of Health accredited laboratory	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Plan duly signed by a licensed Engineer <ul style="list-style-type: none"> • Water distribution system • Elevation and cross-sectional views of tank/reservoir • Elevation and cross-sectional views of pump house, machinery and equipment for Category C, only sketch plan is required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Latest audited financial statements for the waterworks operation only, if already operational for 1 year; for Category C: Income Statement and Balance Sheet	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8	Simplified Financial Projection Model for Category C(hard/soft copy) with the following data: <ul style="list-style-type: none"> • Revenue requirements for one year, composed of: <ul style="list-style-type: none"> ○ Operating expenses, with breakdown ○ Depreciation ○ Loan amortization due (interest and principal) per year • Estimated total consumption for one year (cu.m.) 					<input type="checkbox"/>
9	Business Plan containing: <ul style="list-style-type: none"> • List of existing/required investments consistent with the 10-year business plan • Proposed Tariff Schedule • Levels of service (e.g. water quality, water pressure, non-revenue water, number of hours of water supply per day) 	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10	Financial Projection Model for Category A/Category B (hard and soft copy)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>